



11525 Park Woods Circle
Suite 280
Alpharetta, Georgia 30005
Phone: 678.356.1100
Facsimile: 678.356.1105
ClientServices@KalosFinancial.com
www.KalosFinancial.com

Kalos Capital, Inc. Business Continuity Plan Summary Notice

In its own best interests and pursuant to FINRA and SEC regulation, Kalos Capital, Inc. (“Kalos”) has a Business Continuity Plan (“BCP”) in place to prepare for the possibility of future significant business disruptions (“SBD”) ranging in severity from a firm only disruption to a regional disruption. Kalos’ recovery time from an SBD will depend on the severity and significance of the event. Kalos estimates the recovery time to range from three to twenty-four hours.

Kalos has a functional offsite facility located in Alpharetta, GA providing an alternative location from which it can reasonably operate under such a SBD. Kalos’ electronic records, as well as those of its clearing firms, al Services, Inc., are backed-up to a secure off-site location on a weekly basis. In the event of a catastrophic failure on a scale up to and including a citywide disruption, Kalos will continue business operations from this offsite facility. Clients will continue to contact us via phone at 678-356-1100 or our clearing firms at the telephone numbers below. Alternatively, clients may access us at www.KalosFinancial.com or our clearing firms at the websites listed below. If you have any questions regarding this summary, please call 678-356-1100.

National Financial
Services, Inc.
877-877-2410

www.nationalfinancial.com

Equity Trust Company
(COR Clearing LLC)
877-819-8918

www.myequity.com

RBC Correspondent
Services
612-371-7830

www.rbccm.com

Intelligent Asset Management for Retirement

Kalos Financial is an unregistered designation used to represent the Kalos family of companies. Securities offered through Kalos Capital, Inc., Member FINRA/SIPC/MSRB. Investment Advisory Services offered through Kalos Management, Inc., an SEC Registered Investment Adviser. Insurance products offered through Kalos Financial, Inc., a licensed insurance agency.